

Notice to Suppliers



Export Classification and Authorisation Form (ECAF)

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Job Title: Export Control Manager
Business Unit: Export Control

NTS Number: 529
Issue: 1
Date: 13 Feb 2021

For the attention of the Managing Director and Trade Compliance teams

Scope/Applicability:

All Civil and Defence Suppliers

Dear Supply Partner,

Rolls-Royce is committed to a policy of compliance with the strategic export control laws, regulations and procedures of all relevant jurisdictions and regimes in which we operate. The principles of this policy are outlined for our suppliers in the Global Supplier Code of Conduct.

Suppliers of proprietary items must provide us with the export classifications of those products and, where applicable, the associated technology. The export classifications must be those contained in the regulations of the country where the products/technologies are to be shipped from and for any other relevant jurisdictions that may apply.

For products subject to Rolls-Royce Design Authority, we will provide suppliers with the export classification(s) of the product/technology in the jurisdiction from where the design is exported, but suppliers are required to provide or confirm the export classifications of the products from your own regulatory jurisdiction. This includes all subcomponent items involved in the sourcing. Suppliers must be prepared to provide or work with us to determine the correct export classifications in all applicable jurisdictions affecting the items being supplied.

The supplier must also identify any products (including subcomponents) that originate from the United States of America (USA) or which have USA content, along with the applicable USA export classification(s) and export authorisation(s). In order to satisfy the requirements of the USA Department of Commerce's "de minimis" rules, we also request from the supplier the proportionate value of any content originating from the USA in the products supplied.

Where a supplier's products, technology or services are subject to export controls and an export authorisation(s) applies, the supplier must provide Rolls-Royce with full details of the authorisation.

Action Required:

During the sourcing process, Suppliers are required to complete documentation for all products, components, equipment, technology or services to be provided (including declarations that no export controls apply). The 'Export Classification & Authorisation Form' (ECAF) has been developed to capture essential export control information from our suppliers for the Items that they provide to Rolls-Royce. This NTS replaces NTS408 as ECAF documentation has been updated with new form versions based on the procurement scenario involved:

- *ECAF Design Make:* used to obtain information for supplier designed items and subcomponents.
- *ECAF Make to Print:* used to obtain information for Rolls-Royce design origin (make to print) items and subcomponents.
- *DECAF:* used to obtain information for Rolls-Royce plc UK Defence procurement

Suppliers must complete the form during sourcing based on the procurement scenario involved. An updated document must be provided to Rolls-Royce for any changes that occur.

NTS Category:

Regulatory / Legislation

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