

Export Classification and Authorisation Form (ECAF)

Originator: Jennifer Reed
Job Title: Export Control Manager, Purchasing
Business Unit: Purchasing

NTS Number: 408
Issue: 1
Date: 23rd June 2017

For the attention of the Managing Director and Trade Compliance teams

Dear Sir or Madam

Scope/Applicability:

All suppliers, partners and sub-tier suppliers.

Introduction:

Rolls-Royce is committed to a policy of compliance with the strategic export control laws, regulations and procedures of all relevant jurisdictions and regimes in which we operate. The principles of this policy are outlined for our suppliers in the Global Supplier Code of Conduct. The determination and provision of export classifications is fundamental to compliance with the above.

Suppliers of proprietary items must provide us with the export classifications of those products and, where applicable, the associated technology. The export classifications must be those contained in the regulations of the country where the products/technologies are to be shipped from and for any other relevant jurisdictions that may apply.

For products subject to Rolls-Royce Design Authority, we will provide suppliers with the export classification(s) of the product/technology in the jurisdiction from where the design is exported, but suppliers are required to provide or confirm the export classifications of the products from your own regulatory jurisdiction. Suppliers must be prepared to provide or work with us to determine the correct export classifications in all applicable jurisdictions affecting the items being supplied.

The supplier must also identify any products that originate from the United States of America (USA) or which have USA content, along with the applicable USA export classification(s) and export authorisation(s). In order to satisfy the requirements of the USA Department of Commerce's "de minimis" rules, we also request from the supplier the proportionate value of content originating from the USA in the products supplied.

Where a supplier's products, technology or services are subject to export controls and an export authorisation(s) applies, the supplier must provide Rolls-Royce with full details.

Action Required:

Suppliers are required to complete an Export Classification and Authorisation Form (ECAF), as required, for all products, components, equipment, technology and/or services to be provided to us (including declarations that no export controls apply) during the sourcing process. A blank copy of the ECAF is available by clicking the link in "downloads associated with this NTS".

NTS Category:

Regulatory / Legislation

Authorised by:

Shawn Burkle
Purchasing Development Executive – North America