

Export data on shipping documentation

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Job Title: Export Control Manager
Business Unit: Purchasing

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Issue: 1
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For the attention of Managing Director and Logistics Manager

Dear Sir or Madam,

Scope/Applicability:

Suppliers to Rolls-Royce North America Holding Inc., located in the United States of America (US).

Introduction:

All businesses exporting from the US are obligated by law to comply with US export regulatory requirements. This NTS specifically relates to the shipping documentation provided by suppliers to support all exports and export filings made by Rolls-Royce North America Holding Inc. US suppliers that utilise the North American Collection Service (or "Matrix System") for foreign-bound shipments need to provide complete and accurate shipping documentation to ensure compliance with regulations.

Action Required:

The following export information must be printed on the supplier/commercial invoice or any other export control document that accompanies the shipment from the US to the ultimate consignee or end-user abroad:

- Destination Control Statement (DCS)
 - The International Traffic in Arms Regulations (ITAR) DCS must be used for ITAR controlled items
 - The Export Administration Regulations (EAR) DCS must be used for EAR controlled items
 - Do not combine EAR and ITAR controlled items on the same documents unless specifically authorized by the Directorate of Defense Trade Controls (DDTC) license you are shipping under.
- If controlled under ITAR the export rating must be included
- If controlled under EAR the Export Control Classification Number (ECCN) must be included
- Licence number or exception/exemption [e.g., No Licence Required (NLR) per 9E991]
- Schedule B number

ECCN or Rating Determination:

For design-make parts, the supplier is responsible for determining the Schedule B number, ECCN or rating. Rolls-Royce will provide engine and end-use information as required.

For make-to-print parts, Rolls-Royce is responsible for determining the Schedule B number, ECCN or rating. Suppliers must request this information from Rolls-Royce.

Authorization Determination:

For items which are foreign bound and have an export classification of EAR99 or 9A991(a) or 9A991(d), the authorisation to be listed on the supplier invoice is "NLR per (export classification)".

For items with any other export classification, citation information will be provided when the supplier is notified of the authorisation details.

For any licenced shipments, the licence number serves as the authorisation.

NTS Category:

Regulatory/Legislation

Authorised by:

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